

Overview

Programme Code	36719
Programme Title	Financial Services Law
Awarding Institution	Liverpool John Moores University
Programme Type	Masters
Language of Programme	All LJMU programmes are delivered and assessed in English
Programme Leader	
Link Tutor(s)	Emmanuel Guinchard

Partner Name	Partnership Type
Informa Connect	Supported Distance Learning

Awards

Award Type	Award Description	Award Learning Outcomes
Target Award	Master of Laws - ML	See Learning Outcomes Below
Recruitable Target	Postgraduate Diploma - PD	See Learning Outcomes Below
Recruitable Target	Postgraduate Certificate - PC	See Learning Outcomes Below
Alternative Exit	Postgraduate Certificate - PC	<p>Show originality in the application of knowledge, together with a practical understanding of how established techniques of research and enquiry may be used to create and interpret knowledge in financial services law, dispute resolution and compliance. Have a systematic understanding and critical awareness of current problems and contemporary legal issues facing the financial services sector. Demonstrate skills associated with problem-solving and decision making based on own research and analysis demonstrated through legal writing and communication skills. Develop an ability to exercise initiative when faced with novel and/or complex problem situations. Apply critical and analytical skills associated with legal analysis to identified scenario and situations.</p>
Alternative Exit	Postgraduate Diploma - PD	<p>Demonstrate knowledge and understanding critical awareness of the relevant contemporary financial services legal issues. Advance their knowledge and understanding of financial services law, and to develop new skills to a high level. Exercise initiative and personal responsibility, including decision-making in complex situations. Critically evaluate, analyse and assess the nature of the legal framework, structure and regulation impacting areas of financial services law including dispute resolution, compliance, risk management and marketing. Show originality in the application of knowledge, together with a practical understanding of how established techniques of research and enquiry may be used to create and interpret knowledge in relevant areas of financial services law. Have a systematic understanding and critical awareness of current problems and contemporary legal issues facing the financial services sector. Critically evaluate arguments, assumptions and abstract concepts and so formulate judgements and identify a range of solutions to a given problem. Demonstrate skills associated with problem-solving and decision making based on own research and analysis demonstrated through legal writing and communication skills.</p>

Alternate Award Names

External Benchmarks

Subject Benchmark Statement

Programme Offering(s)

Mode of Study, Mode of Delivery	Intake Month	Teaching Institution	Programme Length
Part-Time, Face to Face	April	Informa Connect	2 Years
Part-Time, Face to Face	October	Informa Connect	2 Years

Aims and Outcomes

Educational Aims of the Programme

This programme provides students with an opportunity to develop their studies in the area of financial services law within a broad, legal context. As well as existing professionals, students may also be seeking to enter the industry, into a variety of job roles. Therefore, the emphasis of the programme is on interpreting, evaluating and communicating legal issues that may arise in a wide range of circumstances. The programme seeks to enhance students' knowledge and skills of critical analysis through an in-depth study of key issues relating to the subject matter of the programme. Exposing the students to the expertise of real-world professionals will offer a practical insight into industry. Students can therefore acquire relevant practical and theoretical knowledge that should be of significant value when seeking future employment. The course provides students with a range of modules within an international context that are taught within an international diverse learning environment. The programme seeks to produce autonomous learners, able to work with self-direction and originality; with the ability to blend theory and practice in complex situations, and have appreciation of how properly, conducted research can enhance their performance as industry professionals. Within the context of the University's mission statement and responsiveness to national and international needs, curriculum innovation and continuing quality enhancement, the programme objectives are to: 1. Develop learner autonomy and critical awareness, in understanding contemporary legal issues within the financial services industry. 2. Critically examine a diverse range of legal issues which can arise within the financial services sector 3. Produce and cultivate students with a range of transferrable skills that will be of value in employment and/or self-employment, including; teamwork, numeracy, literacy, communication, electronic information, digital literacy and time management. Students will be able to work with self-direction, diligence and originality.

Learning Outcomes

Code	Description
PLO1	Demonstrate a critical awareness of the impact and influence legal policy has and continues to have on professional practice within the financial services sector.
PLO2	Be able to communicate in a timely fashion, present and communicate information, in both verbal and written forms and in various formats for different audiences.
PLO3	Collaborate and co-operate in working with others to investigate questions or issues.
PLO4	Apply major theories and concepts to their studies.
PLO5	Reflect on their critical appreciation and apply knowledge to practice in the areas of financial services law including dispute resolution, compliance, risk management and marketing.

Code	Description
PLO6	Demonstrate the skills necessary to plan, conduct and report on a research project by independently engaging with the subject literature and theory, analyse and assess it to support critical and normative judgements and develop a structured argument.
PLO7	Identify a range of research strategies and methods and reflexively assess the merits of each.
PLO8	Understand and show sensitivity to diversity issues and competing interests to identify and take account of normative and moral position within financial services law.
PLO9	Recognise the relevance of the study in the financial services law field.
PLO10	Using ICT to identify, access and select effective information collection methods and use the most appropriate analytical tools including the application of numerical skills to cases involving a quantitative dimension.
PLO11	Articulate arguments and conclusions based on evidence and that are subject to a well- developed critical appraisal and imaginative judgement.

Programme Structure

Programme Structure Description

In order to be awarded the LLM a student will need to have studied all 180 credits and met the award requirements as set out in the Academic Framework. 80 credits will be studied in the first year and 100 credits will be studied in the second year. Module 1 - The City of London and Financial Services Law Institutions – 20 credits Module 2 – Alternative Dispute Resolution – 20 credits Module 3 – Compliance, Supervision and Enforcement – 20 credits Module 4 - Risk Management and Stress Testing – 20 credits Module 5 – Financial Services Ombudsman – 20 credits Module 6 – Marketing Financial Services – 10 credits Module 7 – Research Methods – 10 credits Module 8 - Dissertation (60 credits) In order to receive a Post Graduate Diploma all taught modules must have been completed (the Dissertation module is excluded from the award of Postgraduate Diploma). An award of a Postgraduate Certificate in Financial Services Law will be awarded for successful completion of the first three CORE modules: Module 1 – The City of London and Financial Services Law Institutions – 20 credits Module 2 – Alternative Dispute Resolution – 20 credits Module 3 – Compliance, Supervision and Enforcement – 20 credits

Programme Structure - 180 credit points	
Level 7 - 180 credit points	
Level 7 Core - 180 credit points	CORE
[MODULE] 7501LAWINF Alternative Dispute Resolution Approved 2022.01 - 20 credit points	
[MODULE] 7506LAWINF Compliance, Supervision and Enforcement Approved 2022.01 - 20 credit points	
[MODULE] 7514LAWINF Dissertation Approved 2022.01 - 60 credit points	
[MODULE] 7522LAWINF Financial Services Ombudsman Approved 2022.01 - 20 credit points	
[MODULE] 7540LAWINF Marketing Financial Services Approved 2022.01 - 10 credit points	
[MODULE] 7550LAWINF Research Methods Approved 2022.01 - 10 credit points	
[MODULE] 7551LAWINF Risk Management and Stress Testing Approved 2022.01 - 20 credit points	
[MODULE] 7557LAWINF The City of London and Financial Services Law Institutions Approved 2022.01 - 20 credit points	
Level 7 Optional - No credit points	OPTIONAL

Module specifications may be accessed at <https://proformas.ljmu.ac.uk/Default.aspx>

Teaching, Learning and Assessment

The programme places emphasis on a having a sound grasp of the relevant academic literature, including substantial use of key scholarly journals, legislative provision and case law. The assessment strategy concentrates on how theory relates to and enhances good practice. This is assessed through a variety of summative and formative assessments including reports, essays, presentations and for the LLM a dissertation. A range of learning strategies and a variety of modes of interactive delivery conducive to online distance learning will be utilised throughout the programme and delivered by Informa Connects VLE including an introductory lecture and a range of online activities; e.g. presentations, workbooks, podcasts, blogs, forums both tutor-led synchronous (which can be recorded) and asynchronous, Microsoft Team, Skype or Zoom tutorials will be used in addition to utilising suitable OER (open educational resources), such as 'You Tube' videos, supported by digital key readings. A range of periodic formative study skills and knowledge checks will be completed by the students on-line from which feedback will be given to enable on-going development. Target Award Learning Outcomes 1 – 13 are taught through online lectures and are developed via on-line forums, knowledge checks, targeted learning documents and appropriate formative tasks designed for online learning. These skills are assessed across all modules while the variety of assessments across the programme ensures that these skills are assessed in different ways and in a mode suitable for on-line distance-learning. Formative assessment is used to develop knowledge, understanding and skills, while summative assessment is used to assess the student's success in achieving the learning outcomes.

Opportunities for work related learning

This qualification develops skills appropriate to a range of careers within allied or related areas. This postgraduate degree will provide a set of intellectual and practical skills that will aid career development. Holders of this award may also find positions in the field of education and research including continuing their study for a Ph.D.

Entry Requirements

Type	Description
A levels	A recognised first degree in Law of at least a good Second Class Honours standard. Applicants who do not possess a first degree in law will normally possess a relevant alternative first degree of at least a good Second Class Honours standard. The requirements of a Second Class degree may be waived where it can be shown that an applicant has achieved a comparable academic standard through past studies and work experience (2 years minimum of relevant work experience). Applicants will be considered if they possess other comparable qualifications and/or who possess relevant work experience.
Other international requirements	Where English is a second language you will be required to demonstrate a minimum International English Language Testing System (IELTS) score of 6.5 or a Test of English as a Foreign Language (TOEFEL) score of 575. In some cases, applicants who do not pass the standard entry requirement may be permitted to enrol at the discretion of the programme leader. Applications are welcomed from overseas students, and all applicants are considered on individual merit, without regard to gender, marital status, disability, race, ethnic origin, religion or social background. The course will be taught in English.

Extra Entry Requirements